



## EF Tellsons ICVC

### ISA Transfer Application Form

#### Class A Shares

For completion by the introducing intermediary (if applicable)

Please tick the following boxes as appropriate

☐

Advised Investment

☐

Non-advised Investment

☐

The investor meets the target market for the selected investment fund



***WAY Fund Managers Limited***

## 1. Personal Details (BLOCK CAPITALS please)

Title	Surname
Forename(s)	
Residential Address	
Postcode	
National Insurance Number*	
Telephone (daytime)	
Telephone (evening)	
Date of Birth	

If you do not have a National Insurance Number, please tick this box

☐

\*Your National Insurance Number (NINO), or National Pension Number and Date of Birth must be quoted. Your NINO should be available on either your payslip, form P45 or P60, a letter from HM Revenue & Customs (or DWP), or on your pension order book.

## 2. Investment Details

I apply to transfer my existing ISA(s) (and subscribe to a WAY Fund Managers Stocks and Shares ISA for the **20**\_\_\_/ **20**\_\_\_ tax year and each subsequent year until further notice). *(PLEASE COMPLETE THE TAX YEAR).*

Full information concerning the latest HMRC ISA rules and maximum subscription for the current tax year can be found at [www.gov.uk/individual-savings-accounts](http://www.gov.uk/individual-savings-accounts).

EF Tellsons ICVC Sub-Fund	Share Class and Type Minimum Initial Investment: £3,000	Investment of Transfer Proceeds	Additional Investment into Current Tax Year	
		% of Transfer Value to be Invested	Lump Sum Investment	Monthly Savings** (min. £50 per month)
Endeavour World Equity Fund	Class A Accumulation ISIN GB00BJ391H08			
Endeavour World Equity Fund	Class A Income ISIN GB00BJ391G90			
<b>Total Investment Amount</b>		%	£	£

\* You can invest up to your ISA limit in a stocks and shares ISA with different providers, or you can split this amount between a stocks and shares ISA and a cash ISA with the same or different providers. Please ensure your contributions don't exceed the annual limits. This application is only for a stocks and shares ISA.

\*\* Collection date for monthly savings is the 1st of the month or the next business day if the 1st is not a business day.

### 3. Income Payments (Income Shares only)

Please tick if you wish your income to be reinvested. If ☐ not, please complete the section below.

Please complete this Income Payment section if you wish the income arising from your Income shares to be paid directly into your bank or building society account. **If you have chosen Income shares and we do not have your verified bank details, you will automatically be allocated Accumulation shares, as all payments of income must be made by Direct Credit.** Please note that banks and building societies may not accept Direct Credits to some types of accounts. Due to money laundering regulations, your bank account must be the same as the one your initial investment is paid from.

Bank Account Details									
Bank or Building Society									
Branch Title									
Name of Account Holder(s)									
Account Number									
Sort Code									
Building Society Roll Number (if applicable)									

### 4. Cancellation Rights

Under the Financial Conduct Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate Customer Agreement with you or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

For an Advised Investment Cancellation Rights **will** apply.

### 5. Data Protection—How your personal data is used

By completing and submitting an application to invest in any of the Funds that WAY Fund Managers Limited ("we"/"us") operates, you will be giving your consent to the processing of your personal data (including any anti-money laundering verification check), by us for the administration of services in connection with your investment on a contractual basis. Additionally we may be requested to share your personal data with our regulator, the Financial Conduct Authority, or for wider compliance with any legal or regulatory obligation to which we might be subject.

If you have used an intermediary to submit the application we may also share information about your investment with them, to help them to continue to provide their services to you, unless you request us not to.

We may share your personal data with contracted third parties for the purposes mentioned above (however this does not entitle such third parties to send you marketing or promotional messages) and we do not envisage that this will involve your personal data being transferred outside of the European Economic Area.

We make every effort to maintain the registration of your holdings accurately. However, if you feel that we have incorrectly recorded any of your personal data, you may request its correction. You have the right to request copies of your personal data stored by us and can do so by using our contact details below.

Your data will be stored and processed securely for the period of your contract with us and for a minimum of seven years after our relationship ceases, for regulatory and legislation purposes only.

We are registered with the Information Commissioner's Office as a Data Controller and Data Processor for this purpose. Further information on how we manage your personal data can be found within our **Privacy Notice** which can be found on our website [www.wayfunds.com](http://www.wayfunds.com).

Should you wish to make a complaint or request further information on how we collect and process your personal data please contact us at:

**Data Protection Office**, WAY Fund Managers Limited, Cedar House, 3 Cedar Park, Cobham Road, Wimborne, Dorset, BH21 7SB.

Email: [DPO@wayfunds.com](mailto:DPO@wayfunds.com) Tel: 01202 855856.

Alternatively, if you have any concerns or complaints as to how we have handled your personal data, you may lodge a complaint to the Information Commissioner's Office through their website which can be found at:

<https://ico.org.uk/for-the-public/raising-concerns/>

## 6. Declaration

- I apply to invest in a WAY Fund Managers Limited ISA invested in the Endeavour World Equity Fund.
- All subscriptions made, and to be made, belong to me.
- I confirm that I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the overall subscription limit in total to a cash, a stocks & shares ISA and to an innovative finance ISA in the same tax year.
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earning & Pensions) Act 2003 (Crown Employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform WAY Fund Managers Limited if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I confirm that I have received and read the terms set out in the Prospectus and Key Investor Information document relating to the sub-funds of the EF Tellsons ICVC, including the ISA Terms and Conditions and accept that these may be varied at the Company's discretion.
- I will remit the amount to be invested electronically for the lump sum amount to be invested (see the last page of this Form for details) and/or have completed the Direct Debit instruction for the first monthly payment. I confirm that these monies are my own.
- I declare that this application form has been completed to the best of my knowledge and belief.
- I understand that you may require additional information from me in accordance with the money laundering regulations and I acknowledge that electronic data sources may be used to check my identity under the money laundering regulations.
- I have read the data protection information contained in the Prospectus and in this document.
- I consent to my shares being compulsorily redeemed in accordance with the Prospectus in the event that these statements are not correct or become incorrect in the future.
- I authorise WAY Fund Managers Limited:
  - to hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash,
  - to make on my behalf any claims to relief from tax in respect of ISA investments.

<b>Applicant's Name (please print)</b>	<b>Applicant's Signature</b>	<b>Date</b>

## 7. Disclosure of Politically Exposed Person (PEP) Status

7.1 If applicable, please explain below how you, as an investor are a Politically Exposed Person, or are a close associate of a Politically Exposed Person as defined below.

If applicable, please explain nature of your PEP status

A “*politically exposed person*” means an individual who is, or has been, entrusted with a prominent public function, including, heads of state, heads of government, ministers and deputy or assistant ministers, members of parliament or of similar legislative bodies including regional governments in federalised systems and devolved administrations, including the Scottish Executive and Welsh Assembly, where such bodies have some form of executive decision-making powers. Members of the governing bodies of political parties. Members of supreme courts, of constitutional courts or of any judicial body, the decisions of which are not subject to further appeal except in exceptional circumstances. In the UK this means only judges of the Supreme Court. Members of courts of auditors or of the boards of central banks. Ambassadors, charges d’affaires and high-ranking officers in the armed forces. Members of the administrative, management or supervisory bodies of State-owned enterprises. Directors, deputy directors and members of the board or equivalent function of an international organisation (this does not include sporting federations).

The definition also expands to a “*close associate*” or “*immediate family member*” of a politically exposed person and includes any of the following persons:

- a) An individual who has joint beneficial ownership of a legal entity or arrangement or any other close business relations with a politically exposed person;
- b) An individual who has sole beneficial ownership of a legal entity or legal arrangement set up for the actual benefit of a politically exposed person;
- c) A spouse of a PEP;
- d) A person who is the equivalent of a spouse under the national law of the place where the PEP resides;
- e) A cohabitant;
- f) A child or parent of the politically exposed person or spouse of the child of the PEP;
- g) A brother or sister.

Applicant's Name (please print)	Applicant's Signature	Date

## 8. Intermediary Section—For financial adviser use only

Name of intermediary firm			
FCA FRN No:			
Name of Certificated Adviser		FCA FRN No:	
E-Mail Address			
Telephone Number			

Have you provided the investor (s) with a personal recommendation in relation to this product?	Yes	No
If 'No', has the investment been taken out on an 'execution-only' basis?	Yes	No
Does the investor fall within the target market for all products selected within this application?	Yes	No
If this involves a trust investment, please provide proof of registration of the Trust with the Trust Registration Service ?	Yes	No

Intermediary Comments:

### Intermediary Declaration

In submitting this application on behalf of the investor, I declare that:

- I have completed an Identity Verification Certificate (IDVC), retained documentary evidence and/or completed an electronic identity search for all parties relevant to this application that meets or exceeds the standards set out in the JMLSG guidance. I have seen evidence to verify the identity of all applicants and confirm that I will provide copies of said evidence if requested by WAY Fund Managers;
- I acknowledge and understand the target market for whom the product(s) applied for has been designed;
- I have provided the investor with the UK UCITS Key Investor Information Documents (KIIDs) or the Non-UCITS Retail Scheme Key Investor Information documents (NURS-Kii), a Supplementary Information Document and a Costs and Charges disclosure as detailed within COBS 6;
- Where I have provided the investor with a personal recommendation, I have assessed the suitability of this product in relation to the investor's individual circumstances and investment objectives in accordance with COBS 9 and believe this to be suitable for my client(s);

Financial Adviser's Signature:

Date:

Intermediary Stamp (if applicable):

## Transfer Authority(ies) (BLOCK CAPITALS please)

Existing ISA Plan Manager's Name and full address	
Plan Reference	
The ISAs for the following tax years are to be transferred to the Stocks and Shares ISA e.g. 2020/2021 (Note: If all tax years are to be transferred, state "ALL")	
Type of ISA being transferred	Cash ISA **      Stocks & Shares ISA **
** Delete as appropriate	
Please indicate the approximate value of the ISA to be transferred	£
<p>Please transfer my current ISA, detailed in this Transfer Instruction Form, to WAY Fund Managers Limited. I understand that investments in my existing ISA will be liquidated and the proceeds transferred to WAY Fund Managers Limited, in accordance with ISA Regulations. Payment should be made to 'WAY Fund Managers Limited'.</p> <p>I authorise you to provide WAY Fund Managers Limited with any information they request regarding my ISA.</p> <p>Applicant's Name</p> <p>Applicant's Signature: _____ Date: _____</p>	

Existing ISA Plan Manager's Name and full address	
Plan Reference	
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